



Attorney's Docket No.: 10448-081001 / MPI2000-336P1R

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Rory A. J. Curtis
Serial No. : 09/934,323
Filed : August 21, 2001
Title : 33410, A NOVEL HUMAN CARBOXYLESTERASE FAMILY MEMBER AND
USES THEREOF

Art Unit : 1623
Examiner : Unknown

Commissioner for Patents
P.O. Box 2327
Arlington, VA 22202

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed for filing in the above-referenced patent application is a Form PTO-1449, being submitted prior to the first Office Action on the merits. Applicants respectfully request consideration of the remarks and references set forth herein.

The following documents are enclosed herewith:

- copy of Form PTO-1449
- Postcard
- copies of cited references

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202.

10/8/2002

Date of Deposit

Signature

Debbrah K. Sim

Typed or Printed Name of Person Signing Certificate

REMARKS

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

A copy of the International Search Report for PCT/US01/26091, a counterpart international application, is enclosed for the Examiner's convenience.

Applicants believe that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 06-1050. If necessary, please deduct any necessary additional fees from, or credit any overpayment to, the above-noted Deposit Account.

If the Examiner believes a telephone conference would expedite prosecution of this application, please telephone the undersigned at 858 678 5070.

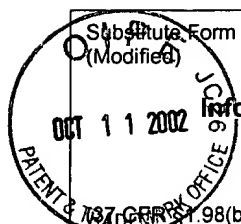
Respectfully submitted,

Date: October 8, 2002



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10219856.doc

	Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 10448-081001	Application No. 09/934,323
	Information Disclosure Statement by Applicant (Use several sheets if necessary)		Applicant Rory A. J. Curtis	
			Filing Date August 21, 2001	Group Art Unit 1623

U.S. Patent Documents

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

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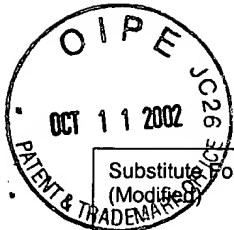
Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL	WO 02/4612 A2	1/17/2002	PCT				
	AM							
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AQ	Accession No. U41662
	AR	Accession No. Q62888
	AS	Accession No. AB037787
	AT	Accession No. Q9P211
	AU	Crystal, "In Vivo and Ex Vivo Gene Therapy Strategies to Treat Tumors Using Adenovirus Gene Transfer Vectors" (1999) Cancer Chemother Pharmacol, Vol 43(Suppl), pp. S90-S99

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Sheet 2 of 2

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 10448-081001	Application No. 09/934,323
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Rory A. J. Curtis	
		Filing Date August 21, 2001	Group Art Unit 1623

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AV	Schwer et al, "Molecular Cloning and Characterization of a Novel Putative Carboxylesterase, Present in Human Intestine and Liver" (1997) Biochemical and Biophysical Research Communications, Vol. 233, pp. 117-120
	AW	

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Substitute Disclosure Form (PTO-1449)